# The effect of cracks on the bearing capacity of reinforced concrete slabs

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Abstract. Cracks occur in reinforced concrete slabs for two reasons - due to increased operational loads and due to manufacturing and installation defects. When cracks are detected, the question arises about the residual bearing capacity of the slab and the need of its strengthening. To solve this problem by calculation, it is necessary to take into account physical nonlinearity. An algorithm for the calculation by the finite element method is proposed. The main feature of the algorithm is the use of multilavered finite elements, which allows modeling the cracks by specifying the corresponding material characteristics of those layers which the crack passes through. A method for determining the bearing capacity of a slab with cracks after its reinforcement with composite fabrics is also considered. An example of the study of the stress-strain state of a reinforced concrete slab with cracks by the proposed method is given. The implementation of the algorithm in the PRINS program is described and the possibility of using this program for solving practical problems is discussed.

## 1. Introduction

Successes in the production of building materials [1-5], on the one hand, and in the technology of building production [6,7] - on the other, provide the possibility of reconstruction and repair of reinforced concrete structures without their dismantling. At that, steel reinforcement, cement-sand mixtures and composite materials based on carbon fibres are used for the strengthening of reinforced concrete structures [8-10]. In particular, in the repair of reinforced concrete slabs, shells, beams and columns, composite tapes and fabrics with unidirectional and bidirectional properties are used [11-12]. Recommendations for the repair and strengthening of reinforced concrete structures developed by various departments [8–12] imply a strength analysis of the objects under consideration both before and after the works have been performed. A feature of such studies is a change in the design model of the structure as a result of repair or reconstruction [13], as well as the presence of initial stresses and strains if reinforcement works are carried out on retention of the entire operational load or part of it. This requires the development of special software or making appropriate additions to existing programs. The method of the stress-strain state analysis of reinforced concrete slabs in which cracks arise during long-term operation and the implementation of this method in the computer program PRINS are described in this paper.

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#### 2. Methods

To determine the bearing capacity of reinforced concrete slabs the algorithm described in the previously published works of the author is used in the PRINS program (see, for example, [14,15]). The main features of this algorithm are as follows.

The calculation of physically nonlinear structures is carried out by the incremental/iterative method using the equation:

$$\mathbf{K}_{\mathbf{NL}} \Delta \mathbf{u} = \Delta \mathbf{P},\tag{1}$$

where  $K_{NL}$  is the total nonlinear stiffness matrix, connecting the increments of the nodal forces and displacements,  $\Delta u$  and  $\Delta P$  are the increments of the nodal displacements and the nodal forces of the finite element model, respectively.

The matrix  $\mathbf{K}_{\mathbf{NL}}$  is represented as:

$$\mathbf{K}_{\mathbf{NL}} = \mathbf{K}_{\mathbf{0}} + \Delta \mathbf{K} \tag{2}$$

After substitution (2) in (1), we get

$$(\mathbf{K}_{0} + \Delta \mathbf{K}) \Delta \mathbf{u} = \Delta \mathbf{P} \,. \tag{3}$$

Equotion (2) is solved by iterative method:

$$\mathbf{K}_{0}\Delta\mathbf{u}_{i} = \Delta\mathbf{P} - \Delta\mathbf{K}_{i,1}\Delta\mathbf{u}_{i,1} \tag{4}$$

were i – iteration number.

At the end of the iterative process, the total values of displacements and stresses at the end of the loading step are found by formulas:

$$\mathbf{u} = \mathbf{u}_0 + \Delta \mathbf{u}; \ \boldsymbol{\sigma} = \boldsymbol{\sigma}_0 + \Delta \boldsymbol{\sigma}. \tag{5}$$

The stress increments are found by formula:

$$\Delta \sigma = C_{ep} \Delta \varepsilon. \tag{6}$$

where  $C_{en}$  is the elasto-plastic matrix of material characteristics [14].

At each step of loading, the stress state is analyzed and, when plastic strains and cracks occur, the stresses are corrected taking into account the adopted deformation diagrams of the materials. This requires the carrying out of the process of equilibration of the structure. The equilibrium iterations is performed in the program PRINS in accordance with the formula:

$$\mathbf{K}_{j}^{i} \Delta \mathbf{u}_{j}^{i} = \mathbf{P}_{j} - \mathbf{F}_{j}^{i-1}, \qquad (7)$$

where  $\mathbf{K}$  – tangent stiffness matrix,  $\Delta \mathbf{u}$  – nodal displacements vector,  $\mathbf{P}$  – vector of the externally applied nodal loads,  $\mathbf{F}$  – vector of nodal point forces that are equivalent to the element stresses, j – step number, i –iteration number.

When using formulas (1-7), the calculation is carried out with preservation of the design scheme. To solve the problem posed in this article, the algorithm implemented earlier has been updated in accordance with the flowchart shown in figure 1.



Fig. 1. Flow diagram of the algorithm for calculating the slabs with cracks arising at the operation stage.

Cracks are initialized in the program PRINS by specifying the corresponding characteristics of the stress-strain state of concrete layers containing cracks, and the design scheme is changed by replacing the original material package with the enhanced one.

#### 3. Results

To illustrate the developed technique, a square symply supported reinforced concrete slab was investigated with the following initial data: slab size 8x8 m, slab thickness 25 cm; concrete of class B30, normative compressive strength of 22 MPa, normative tension strength of 1.75 MPa, elastic modulus  $E = 3.25 \times 10^7$  MPa, Poisson's ratio  $\mu = 0.2$ ; reinforcement of class A500, standard resistance 500 MPa, Poisson's ratio  $\mu = 0.3$ ; the reinforcement was made on the load q = 20 kPa, while 4 rods with a diameter of 14 mm per linear meter of slab length were taken (spacing of reinforcement equal to 250 mm); to strengthen the slab, a bidirectional composite fabric with a tensile strength of 4.9 GPa, elastic modulus of 245 GPa and a residual strain of 1.8% was used ; the slab was divided in thickness into 32 layers; two packages of materials - the original (package number 1) and

repair (package number 2) were used in the calculation. The characteristics of layers are shown in Tables 1 and 2.

<b>Table 1.</b> The package $M^{\circ}$ of the layer characteristics.					
The layer	Thickness,	Materials			
number	cm				
1	0	Concrete			
2-22	1	Concrete			
23	0.272	Concrete			
24	0.228	Steel, α=0			
25	0.228	Steel, $\alpha = 90$			
26	0.272	Concrete			
27-29	1	Concrete			
30	0.1	Material with reduced			
		elastic modulus			
31	0.1	Material with reduced			
		elastic modulus			
32	0	Concrete			

**Table 1.** The package №1 of the layer characteristics.

**Table 2.** The package №2 of the layer characteristics.

The layer	Thickness,	Materials	
number	cm		
1	0	Concrete	
2-22	1	Concrete	
23	0.272	Concrete	
24	0.228	Сталь, α =0	
25	0.228	Сталь, α =90	
26	0.272	Concrete	
27-29	1	Concrete	
30	0.1	Composite fabric	
31	0.1	Composite fabric	
32	0	Concrete	

The angle  $\alpha$  in the tables 1 and 2 is the steel reinforcement orientation angle. The layers 1 and 32 are fictitious. Fictitious layers are introduced to enable the output of the stresses and cracks on the lower and upper surfaces in the postprocessor. The layers 30 and 31 in the package No 1 are also fictitious with the fictitious material having a modulus of elasticity several orders of magnitude lower than the modulus of elasticity of concrete and steel reinforcement. These layers have almost no effect on the operation of the slab in its original state. They are needed for the transition from one calculation scheme to another.

The design scheme of the slab with the numbering of elements is shown in figure 2.

At the first stage, the slab was calculated by a step-iteration method up to destruction according to the PRINS program. The maximum value of the deflection under load q = 20 kPa was equal to 2.04 cm, which is less than the allowable value of L/200 = 800/200 = 4 cm. Figure 3 shows the first cracks on the lower surface under a load of 8 kPa, and Figure 4 shows cracks with a nominal load of 20 kPa.



Fig. 3. The first cracks under load of 8 kPa.

As can be seen from figures 3 and 4, two mutually perpendicular cracks occur in the central region of the plate, and their directions are parallel to the outer contour of the plate. In the remaining regions, one crack arises at each point, and its direction depends on the ratio between the shear and normal stresses. Closer to the corners of the slab, the direction of the cracks approaches 45 degrees with respect to the external contour.



Fig. 4. The cracks under load of 20 kPa.

The crack opening at a nominal load of 20 kPa was found taking into account the recommendations of building acts [16] according to the formula:

$$a_{crc} = \varphi_1 \varphi_2 \varphi_3 \psi_s \frac{\sigma_s}{E_s} l_s, \tag{8}$$

where the coefficients  $\varphi_1$ ,  $\varphi_2$  and  $\varphi_3$  are determined by the regulatory documents depending on the source data,  $\psi_s$  – the coefficient taking into account the ununiform distribution of the strains of the tensile reinforcement between the cracks,  $\sigma_s$  – the stress in the reinforcement,  $E_s$  – the modulus of elasticity of the reinforcement,  $l_s$  – the basic distance between the cracks.

The coefficient  $\psi_s$  is assumed to be one if the condition is met:

$$a_{crc} \le a_{crc\ ult} \,, \tag{9}$$

where  $a_{crc,ult}$  – the maximum allowable width of crack opening.

Provided the safety of the reinforcement is maintained, the value  $a_{crc,ult}$  is assumed to be 0.3 mm with prolonged opening of the cracks, and 0.4 mm with a short opening.

If the condition (9) is not satisfied, the value of  $\psi_s$  is calculated by the formula:

$$\psi_s = 1 - 0.8 \frac{\sigma_{s,crc}}{\sigma_s},$$

where  $\sigma_{s,crc}$  is the stress in the longitudinal tensioned reinforcement in a section with a crack immediately after the formation of a crack.

The value of the base distance between cracks is determined by the formula:

$$l_s = 0.5 \frac{A_{bt}}{A_s} d_s \,,$$

where  $A_{bt}$  – the cross-sectional area of the stretched concrete,  $A_s$  – the cross-sectional area of the stretched reinforcement,  $d_s$  – the diameter of the reinforcement. For elements with a cross-section height of not more than 1 m, the value  $l_s$  is taken not less than 10  $d_s$  and 10 sm and not more than 40  $d_s$  and 40 cm.

In our case  $\varphi_1 = 1,4$  (a prolonged load action is considered),  $\varphi_2 = 0,5$  (for reinforcement of class A500),  $\varphi_2 = 1$  in bending,  $\psi_s = 1$ .

The stress in the reinforcement at the load of 20 kPa was 79500 kPa, and the height of the stretched zone was equal to 16 cm. The thickness of the equivalent reinforcement layer in the adopted design scheme was equal to 0.228 cm. With these data  $l_s = 0.5 \frac{16}{0.228} 1.4 \text{ cm} = 49.12 \text{ cm}$ . Let's accept  $l_s = 40 \text{ cm}$ . The width of the crack opening in the most dangerous section is equal to  $a_{crc} = 1.4 \times 0.5 \times 1 \times 1 \times \frac{79500}{2 \times 10^8} 400 \text{ mm} = 0.111 \text{ mm} < 0.3 \text{ mm}$ .

In figure 5 the load-displacemen curve is shown. As can be seen from figure 5, the loadbearing capacity of the reinforced concrete slab with the given characteristics is equal to 74 kPa.



Fig. 5. The load-displacemen curve for initial version of slab,  $q_{\text{lim}} = 74,0 \text{ kPA}$ .

When the slab was reinforced at the stage of operation with the two layers of composite fabric, the behavior of the structure was described by the load-displacement curve shown in figure 6. It is seen from Figure 6, that when the structure is strengthened at the operation stage, the displacement gradients decrease, which is explained by an increase in the structure rigidity. The ultimate load have increased with the above strengthening to 78.6 kPa.



Displacements, m



At the second stage, cracks shown in figure 7 were initiated in the slab at the load equal to 20 kPa.



Fig. 7. Initiated cracks on the bottom surface of the slab.

Characteristics of cracks are given in table 3. The angle between the normal to the crack and the local x axis of the element is indicated by the letter  $\beta$ . The calculation according to the PRINS program showed that when the cracks discribed above occurred, the ultimate load decreased to a value of 56 kPa (figure 8). The crack formation on the bottom surface with the initial and initiated cracks under the load of 20 kPa is shown in figure 9

Load	Group of	Element	Specifier	Crack	Angle				
value	element	number	of surface	depth	β				
(кПа)	number			(cm)					
20	1	6	0	20	-45				
20	1	7	0	20	-45				
20	1	22	0	20	-45				
20	1	23	0	20	-45				
20	1	38	0	20	-45				
20	1	18	0	22	-45				
20	1	34	0	22	-45				
20	1	50	0	22	-45				
20	1	109	0	22	90				
20	1	110	0	22	90				
20	1	111	0	22	90				
20	1	112	0	22	90				
20	1	113	0	22	90				

Table 3. The initiated cracks charackteristics



Fig. 8. The load-displacement curve for the slab with the cracks arising at the operation stage.



Fig. 9. Initial and initiated cracks at the load of 20 kPa.

The result of the calculation of the slab with initiated cracks after reinforcement with two layers of composite fabric is shown in figure 10 as a load-desplacement curve. The maximum load have increased to 67.2 kPa.



Fig. 10. The load displacement curve for the slab with initiated cracks after reinforcement.

### 4. Conclusion

The algorithm developed in this article and implemented in the PRINS program makes it possible to calculate reinforced concrete slabs with internal and external reinforcement, taking into account the actual loading history, up to destruction. This is especially important when the works related to the repair or reconstruction of structures are carrying out without their dismantling. The PRINS program allows us to estimate the bearing capacity of reinforced concrete slabs in their initial state, to find the ultimate factor of safety in the event of defects in the form of cracks and to select the necessary reinforcement by calculation. The use of the PRINS program at the stage of development of a project for the reconstruction or repair of reinforced concrete structures will give to civil engineers the opportunity to choose the strategy for future works more sensible and to avoid unnecessary waste of time and material resources.

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